November 7, 2018
Ref: DODOIG-2019-000087
DODOIG-2019-000089
NARA-NGC-2018-000333
NARA-NGC-2018-000663

Ms. Emma Best
Muckrock
Dept. MR56255
411A Highland Avenue
Somerville, MA 02144

Dear Ms. Best:

This is in response to your February 19, 2018, and June 22, 2018, Freedom of Information Act (FOIA) requests submitted to the National Archives and Records Administration (NARA), reference numbers NARA-NGC-2018-000333 and NARA-NGC-2018-000663, for copies of SF-311 forms. On October 30, 2018, NARA referred the enclosed pages responsive to your requests for our processing and direct response to you. We received this referral on the same day and we entered it into our system under two separate case numbers, DODOIG-2019-000087 and DODOIG-2019-000089. For ease of processing, this letter serves as the final response for both these cases.

After reviewing the enclosed pages, we determined that redacted portions are exempt from release pursuant to 5 U.S.C. § 552(b)(6), which pertains to information, the release of which would constitute a clearly unwarranted invasion of personal privacy.

If you consider this an adverse determination, you may submit an appeal. Your appeal, if any, must be postmarked within 90 days of the date of this letter, should clearly identify the determination that is being appealed, and should reference the file number(s) above. Send your appeal to the Department of Defense, Office of Inspector General, ATTN: FOIA Appellate Authority, Suite 10B24, 4800 Mark Center Drive, Alexandria, VA 22350-1500. We recommend that your appeal and its envelope both bear the notation "Freedom of Information Act Appeal." You may also send your appeal via facsimile to 571-372-7498. For more information on appellate matters and procedures, please refer to 32 C.F.R. Sec. 286.9(e) and 286.11(a) for further information on administrative appeals.

You may seek dispute resolution services and assistance with your request from the DoD OIG FOIA Public Liaison Officer at 703-604-9785, or the Office of Government Information Services (OGIS) at 877-684-6448, ogis@nara.gov, or https://ogis.archives.gov/. You may also contact OGIS via regular mail at National Archives and Records Administration Office of Government Information Services, 8601 Adelphi Road – OGIS, College Park, MD 20740-6001. Please note that OGIS mediates disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. However, OGIS does not have the authority to mediate requests made under the Privacy Act of 1974 (request to access one's own records).

  If you have any questions regarding this matter, please contact the Department of Defense, Office of Inspector General FOIA Requester Service Center at 703-604-9775 or via email at foiarequests@dodig.mil.

Sincerely,

Mark Dorgan
Division Chief
 FOIA, Privacy and Civil Liberties Office

Enclosure(s):
As stated

31 October 2017

MEMORANDUM FOR INFORMATION SECURITY OVERSIGHT OFFICE (ISOO)
ATTN: ███████████

SUBJECT: FY 2017 Agency Security Classification Management Program Data (SF 311)

The submission of the Agency Security Classification Management Program Data (SF 311) for Fiscal Year 2017 is forwarded for your review. During this past fiscal year there was a significant increase from Fiscal Year 2016 in derivative classification decisions involving Top Secret and Secret information within the DoD Office of the Inspector General. This was the result of an increase in missions involving National Security Information.

The point of contact for this action is ███████████ Information Security Program Manager, who can be reached at ███████████

███████████

Director,
Office of Security

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2017

2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. DOD: Department of Defense, Office of Inspector General
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) ████ Office of Security

4. Point-of-contact responsible for answering questions about this report:

4a. Name:	4a. (b)(6) ████
4b. Title:	4b. Information Security Program Manager
4c. Email address:	4c. (b)(6) ████
4d. Phone number:	4d. (b)(6) ████

PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is **TOP SECRET**.	5.	1
6. Enter the number of agency officials whose highest level of original classification authority is **SECRET**.	6.	0
7. Enter the number of agency officials whose highest level of original classification authority is **CONFIDENTIAL**.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8.	1

PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of **TOP SECRET** original classification decisions. (Sum of blocks 9 and 10)	11.	0
12. Enter the number of original **SECRET** classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original **SECRET** classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	0
14. Total number of **SECRET** original classification decisions. (Sum of blocks 12 and 13)	14.	0
15. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	0
16. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	0

DOD: Department of Defense, Office of Inspector General

PART D: Derivative Classification Decisions Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.	411
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.	1,231
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.	26
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	1,668

PART E: Mandatory Declassification Review Requests A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision.		
23. Enter the number of REQUESTS received during the reporting period.	23.	0
24. Enter the number of REQUESTS closed during the reporting period.	24.	0
25. Of the REQUESTS entered into block 24, enter the number of pages that were:	25.	
a. Declassified in full.	25a.	0
b. Declassified in part.	25b.	0
c. Denied declassification.	25c.	0
d. Total number of requested pages acted on. (Sum of blocks a, b, and c)	25d.	0
26. Enter the number of REQUESTS that have been unresolved for over one year.	26.	0
27. Enter the AVERAGE NUMBER OF DAYS to resolve each request.	27.	0
28. Enter the number of REFERRED REQUESTS received during the reporting period. (Number of requests referred to your agency from another agency)	28.	0

PART F: Mandatory Declassification Review Appeals An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only appeals for your agency in which your agency is responsible for the final decision.		
29. Enter the number of APPEALS received during the reporting period.	29.	0
30. Enter the number of APPEALS closed during the reporting period.	30.	0
31. Of the APPEALS entered into block 30, enter the number of pages that were:	31.	
a. Declassified in full.	31a.	0
b. Declassified in part.	31b.	0
c. Denied declassification.	31c.	0
d. Total number of appealed pages acted on. (Sum of blocks a, b, and c)	31d.	0
32. Enter the number of APPEALS that have been unresolved for over one year.	32.	0
33. Enter the AVERAGE NUMBER OF DAYS to resolve each appeal.	33.	0
34. Enter the number of REFERRED APPEALS received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
35. Enter the number of pages REVIEWED that were subject to automatic declassification under section 3.3 of E.O. 13526.	35.	0
36. Enter the number of pages DECLASSIFIED under automatic declassification.	36.	0
37. Enter the number of pages REVIEWED that were subject to systematic declassification under section 3.4 of E.O. 13526.	37.	0
38. Enter the number of pages DECLASSIFIED under systematic declassification.	38.	0
39. Enter the number of pages REVIEWED that were subject to discretionary declassification under section 3.1 of E.O. 13526.	39.	0
40. Enter the number of pages DECLASSIFIED under discretionary declassification.	40.	0
PART H: Internal Agency Oversight		
41. Enter the number of CHALLENGES processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	41.	0
42. Enter the number of CHALLENGES where the classification status was fully affirmed.	42.	0
43. Enter the number of CHALLENGES where the classification status was overturned in whole or in part.	43.	0
PART I: Intelligence Community (IC) Information		
This section applies only to IC agencies and IC components of other agencies who *originally apply* the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.		
Organization's classified disseminated analytic product (as defined in ICD 206 *Sourcing Requirements for Disseminated Analytic Product*) originally marked ORCON.		
44. Enter PERCENTAGE of your organization's classified disseminated analytic products originally marked ORCON.	44.	0.00%
45. Enter TOTAL NUMBER of your organization's classified disseminated analytic products originally marked ORCON.	45.	0
46. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in full.	46.	0
47. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in part. Provide explanation for partial denial in part J below.	47.	0
48. Enter the number of ORCON expanded dissemination requests DENIED. Provide explanation for denial in part J below.	48.	0
Organization's classified disseminated analytic product (as defined in ICD 206 *Sourcing Requirements for Disseminated Analytic Product*) originally marked ORCON-USGOV.		
49. Enter PERCENTAGE of your organization's analytic products originally marked ORCON-USGOV.	49.	0.00%
50. Enter TOTAL NUMBER of your organization's analytic products originally marked ORCON-USGOV.	50.	0
51. Provide TOTAL NUMBER of reports of potential misapplication of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	0
52. Has your organization been NEGATIVELY IMPACTED by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52. N/A	

DOD: Department of Defense, Office of Inspector General

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Items 19 & 20. There was an increase in decisions involving Top Secret information and a decrease in decisions involving Secret information. This was due to an increase in classified projects for the Audit, Intelligence and Special Program Assessments (ISPA), and Special Plans and Operations (SPO) components. There was no change in the decisions involving Confidential information. Specifically in 2017, the Audit component initiated major projects involving the National Security Agency and US Cyber Command. The ISPA component initiated projects with the DoD Intelligence Community and Nuclear Surety, and SPO initiated projects on Counterterrorism Assistance.

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the **Fiscal Year** that this report covers.	1. 2016

2. Identify the **Department, Independent agency, or Establishment** that is covered by this report.	2. DOD: Department of Defense, Office of Inspector General
3. Enter the name and title of the **Senior Agency Official** (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. ▓▓▓▓▓▓ Director, Office of Security

4. Point-of-contact responsible for answering questions about this report:

4a. Name:	4a ▓▓▓▓▓▓
4b. Title:	4b. Information Security Program Manager/OIG Security Manager
4c. Email address:	4c. ▓▓▓▓▓▓
4d. Phone number:	4d. ▓▓▓▓▓▓

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	1
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	0
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	0
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8.	1

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	9.	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	10.	0
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 and 10)	11.	0
12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	12.	0
13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	13.	0
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 and 13)	14.	0
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	15.	0
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	16.	0
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	0

PART D: Derivative Classification Decisions
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of **derivative TOP SECRET** classification decisions made during the reporting period.	19.	234
20. Enter the number of **derivative SECRET** classification decisions made during the reporting period.	20.	1,300
21. Enter the number of **derivative CONFIDENTIAL** classification decisions made during the reporting period.	21.	26
22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	1,560

PART E: Mandatory Declassification Review Requests
A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of **REQUESTS** received during the reporting period.	23.	0
24. Enter the number of **REQUESTS** closed during the reporting period.	24.	0
25. **Of the REQUESTS entered into block 24, enter the number of pages that were:**	25.	
a. Declassified **in full**.	25a.	0
b. Declassified **in part**.	25b.	0
c. **Denied** declassification.	25c.	0
d. **Total** number of requested pages acted on. (Sum of blocks a, b, and c)	25d.	0
26. Enter the number of **REQUESTS** that have been unresolved for over one year.	26.	0
27. Enter the **AVERAGE NUMBER OF DAYS** to resolve each request.	27.	0
28. Enter the number of **REFERRED REQUESTS** received during the reporting period. (Number of requests referred to your agency from another agency)	28.	0

PART F: Mandatory Declassification Review Appeals
An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only appeals for your agency in which your agency is responsible for the final decision.

29. Enter the number of **APPEALS** received during the reporting period.	29.	0
30. Enter the number of **APPEALS** closed during the reporting period.	30.	0
31. **Of the APPEALS entered into block 30, enter the number of pages that were:**	31.	
a. Declassified **in full**.	31a.	0
b. Declassified **in part**.	31b.	0
c. **Denied** declassification.	31c.	0
d. **Total** number of appealed pages acted on. (Sum of blocks a, b, and c)	31d.	0
32. Enter the number of **APPEALS** that have been unresolved for over one year.	32.	0
33. Enter the **AVERAGE NUMBER OF DAYS** to resolve each appeal.	33.	0
34. Enter the number of **REFERRED APPEALS** received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	0

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PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
35. Enter the number of pages **REVIEWED** that were subject to **automatic declassification** under section 3.3 of E.O. 13526.	35.	0
36. Enter the number of pages **DECLASSIFIED** under **automatic declassification**.	36.	0
37. Enter the number of pages **REVIEWED** that were subject to **systematic declassification** under section 3.4 of E.O. 13526.	37.	0
38. Enter the number of pages **DECLASSIFIED** under **systematic declassification**.	38.	0
39. Enter the number of pages **REVIEWED** that were subject to **discretionary declassification** under section 3.1 of E.O. 13526.	39.	0
40. Enter the number of pages **DECLASSIFIED** under **discretionary declassification**.	40.	0
PART H: Internal Agency Oversight		
41. Enter the number of **CHALLENGES** processed by your agency to the classification of information believed to be **improperly classified or unclassified**. (E.O. 13526, section 1.8)	41.	0
42. Enter the number of **CHALLENGES** where the classification status was **fully affirmed**.	42.	0
43. Enter the number of **CHALLENGES** where the classification status was **overturned in whole or in part**.	43.	0
PART I: Intelligence Community (IC) Information This section applies only to IC agencies and IC components of other agencies who *originally apply* the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.		
Organization's classified disseminated analytic product (as defined in ICD 206 *Sourcing Requirements for Disseminated Analytic Product*) originally marked ORCON.		
44. Enter **PERCENTAGE** of your organization's classified disseminated analytic products originally marked **ORCON**.	44.	0.00%
45. Enter **TOTAL NUMBER** of your organization's classified disseminated analytic products originally marked **ORCON**.	45.	0
46. Enter the **NUMBER OF REQUESTS** approved for expanded dissemination of ORCON in **full**.	46.	0
47. Enter the **NUMBER OF REQUESTS** approved for expanded dissemination of ORCON in **part**. Provide explanation for partial denial in part J below.	47.	0
48. Enter the number of ORCON expanded dissemination requests **DENIED**. Provide explanation for denial in part J below.	48.	0
Organization's classified disseminated analytic product (as defined in ICD 206 *Sourcing Requirements for Disseminated Analytic Product*) originally marked ORCON-USGOV.		
49. Enter **PERCENTAGE** of your organization's analytic products originally marked **ORCON-USGOV**.	49.	0.00%
50. Enter **TOTAL NUMBER** of your organization's analytic products originally marked **ORCON-USGOV**.	50.	0
51. Provide **TOTAL NUMBER** of reports of **potential misapplication** of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	0
52. Has your organization been **NEGATIVELY IMPACTED** by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52. N/A	

DOD: Department of Defense, Office of Inspector General